

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe that I am an original inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD OF EXTRACTING ANTIOXIDANTS FROM LAMIACEAE SPECIES AND THE EXTRACT PRODUCTS THEREOF, the specification of which

(check one) ☒ is attached hereto.

☐ was filed on _____, 19____
as Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number) (Country) (Day/Month/Year Filed)

☐
Yes

☐
No

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Reg. No. 31,025

Send correspondence and direct all telephone calls to:

Kent A. Herink
DAVIS, BROWN, KOEHN, SHORS & ROBERTS, P.C.,
The Financial Center
666 Walnut Street, Suite 2500
Des Moines, Iowa 50309-3993
(515) 288-2500 (phone)
(515) 243-0654 (fax)

Post Office Address: 2100 Maury Street, Box 70, Des Moines, Iowa 50301

John Greaves

Ventor: John Greaves

Date: May 14th 1998

Residence: Des Moines, Iowa

Citizenship: U.S.A.

Post Office Address: 2100 Maury Street, Box 70, Des Moines, Iowa 50301

Applicant or Patentee: James Haworth, Friedhelm Brinkhaus and John Greaves Attorney's Docket No. 4532670/19300
Serial or Patent No.:
Filed or Issued:

For: METHOD OF EXTRACTING ANITOXIDANTS FROM LAMIACEAE SPECIES AND THE EXTRACT PRODUCTS THEREOF

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **METHOD OF EXTRACTING ANTIOXIDANTS FROM LAMIACEAE SPECIES AND THE EXTRACT PRODUCTS THEREOF** described in

- ☒ the specification filed herewith
☐ application serial no. , filed
☐ patent no. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

FULL NAME: Kemin Industries, Inc.
ADDRESS: 2100 Maury Street, Box 70, Des Moines, Iowa 50301

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME:
ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME:
ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

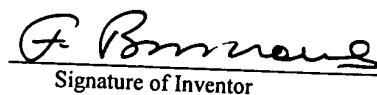
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

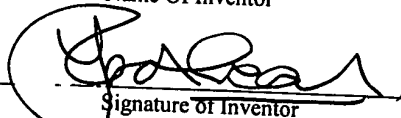
James Haworth
Name Of Inventor

Friedhelm Brinkhaus
Name Of Inventor

John Greaves
Name Of Inventor


Signature of Inventor


Signature of Inventor


Signature of Inventor

5-15-98

Date

5-15-98

Date

5-18-98

Date

Applicant or Patentee: Kemin Industries, Inc.

Serial No. or Patent No:

Filed or Issued:

For: METHOD OF EXTRACTING ANTIOXIDANTS FROM
LAMIACEAE SPECIES AND THE EXTRACT PRODUCTS THEREOF

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Kemin Industries, Inc.

ADDRESS OF CONCERN: 2100 Maury Street, Box 70, Des Moines, Iowa 50301

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD OF EXTRACTING ANTIOXIDANTS FROM LAMIACEAE SPECIES AND THE EXTRACT PRODUCTS THEREOF by inventor(s) John Greaves, Friedhelm Brinkhaus and James Haworth, described

☒ the specification filed herewith.

☐ application Serial No. , filed .

☐ Patent No. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

**NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).*

FULL NAME: Kemin Industries, Inc.

ADDRESS: 2100 Maury Street, Box 70, Des Moines, Iowa 50301

☐ INDIVIDUAL

☒ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of payment, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ADDRESS OF PERSON SIGNING: Christopher E. Nelson, President
SIGNATURE *Christopher E. Nelson* 2100 Maury Street, Box 70, Des Moines, Iowa 50301

SIGNATURE Christopher E. Helum DATE 5/14/98

THE UNIVERSITY OF CHICAGO